

## Advertisement

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- **2 postdoc grants** in Munich, from April & Sep. 2003  
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# An arithmetic for polynomial-time computation

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## Part 1: Feasible computation with higher types

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Goal: Restriction **LT** of the system **T** of Gödel '58

Part 2: Transfer to proofs via Curry-Howard corresp.

- Two sorts of variables: complete  $\bar{x}^\rho$  and incomplete  $x^\rho$ .
- **(affine) linearity**: higher type incomplete variables occur at most once.
- **ramification**:

$$\left\{ \begin{array}{l} \rho \rightarrow \sigma \\ \lambda \bar{x}^\rho r \end{array} \right. \quad \text{as well as} \quad \left\{ \begin{array}{l} \rho \multimap \sigma \\ \lambda x^\rho r \end{array} \right.$$

## Two recursions

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$$\begin{aligned}d(1) &:= S_0(1) & e(1) &:= 1 \\d(S_i(x)) &:= S_0(S_0(d(x))) & e(S_i(x)) &:= d(e(x))\end{aligned}$$

Then  $|d(x)| = 2|x|$ ,  $e(x) = d^{(|x|-1)}(1)$ .

Problem: Previous value of 2nd recursion is rec. argument

Cure: **recursion arguments “complete”**, i.e.,  $d: \mathbf{W} \rightarrow \mathbf{W}$ ,  
not  $\mathbf{W} \multimap \mathbf{W}$ .

Similar:

“safe” vs. “normal” arg. (Simmons, Bellantoni/Cook),  
“tiering” (Leivant).

## Recursion with parameter substitution (1)

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$$\begin{array}{l} e(1, y) := S_0(y) \\ e(S_i(x), y) := e(x, e(x, y)) \end{array} \quad \text{or} \quad \begin{array}{l} e(1) := S_0 \\ e(S_i(x)) := e(x) \circ e(x) \end{array}$$

Then  $e(x) = S_0^{(2^{|x|-1})}$ .

Problem: Previous higher type value of rec. used twice.

Cure: **(affine) linearity**, i.e., higher type incomplete variables occur at most once.

## Recursion with parameter substitution (2)

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$$\begin{array}{ccc} e(1, y) := y & & e(1) := \text{id} \\ e(S_i(x), y) := e(x, d(y)) & \text{or} & e(S_i(x)) := e(x) \circ d \end{array}$$

Then  $e(x) = d^{|x|-1}$ .

Problem: Higher result type with **marked** argument types (marked as recursion arguments).

Cure: **Result types linear**, i.e., without  $\rightarrow$ .

## Higher argument type: iteration (1)

---

$$I(1, f) := \text{id}$$

$$I(S_i(x), f) := f \circ I(x, f)$$

Then  $I(x, d) = d^{(|x|-1)}$ .

Problem: Recursively defined  $d$  in function parameter.

Cure: **Result types linear**, i.e., without  $\rightarrow$ .

## Higher argument type: iteration (2)

---

$$e(1) := S_0$$

$$e(S_i(x)) := I(S_0(S_0(1)), e(x))$$

Then:  $e(x) = S_0^{(2^{|x|-1})}$ .

Problem: Previous higher type value  $e(x)$  in step of  $I$ .

Cure: no higher type incomplete parameters in step.



## Related work

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Bounded recursion (Cobham, Cook/Urquhart)

Safe & ramified rec. (Simmons, Bellantoni/Cook, Leivant)

Bounded linear logic (Girard/Scedrov/Scott)

Light linear logic (Girard, Asperti, Roversi)

Soft linear logic (Lafont)

Typed  $\lambda$ -calculi for poly-time computation (Hofmann)

Modal type theory (Pfenning)

Bellantoni/Niggl/S

# Types

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$$\rho, \sigma ::= \mathbf{U} \mid \mathbf{B} \mid \mathbf{L}(\rho) \mid \rho \multimap \sigma \mid \rho \rightarrow \sigma \mid \rho \otimes \sigma \mid \rho \times \sigma$$

$\rightarrow$ -free types are called **linear**. The **level** of a type:

$$l(\mathbf{U}) := l(\mathbf{B}) \quad := 0$$

$$l(\mathbf{L}(\rho)) \quad := l(\rho)$$

$$l(\rho \multimap \sigma) := l(\rho \rightarrow \sigma) := \max\{l(\sigma), 1 + l(\rho)\}$$

$$l(\rho \otimes \sigma) \quad := \max\{l(\rho), l(\sigma)\}$$

$$l(\rho \times \sigma) \quad := \max\{l(\rho), l(\sigma), 1\}$$

A **higher** type is any type of level at least 1.

## Constants

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$\varepsilon$  :  $\mathbf{U}$

$\mathbf{tt}, \mathbf{ff}$  :  $\mathbf{B}$

$\mathbf{nil}_\rho$  :  $\mathbf{L}(\rho)$

$\mathbf{cons}_\rho$  :  $\rho \multimap \mathbf{L}(\rho) \multimap \mathbf{L}(\rho)$

$\mathbf{if}_\tau$  :  $\mathbf{B} \multimap \tau \times \tau \multimap \tau$  ( $\tau$  linear)

$\mathbf{c}_\tau^{\mathbf{L}(\rho)}$  :  $\mathbf{L}(\rho) \multimap \tau \times (\rho \multimap \mathbf{L}(\rho) \multimap \tau) \multimap \tau$  ( $\tau$  linear)

$\mathcal{R}_\tau^{\mathbf{L}(\rho)}$  :  $\mathbf{L}(\rho) \rightarrow (\rho \rightarrow \mathbf{L}(\rho) \rightarrow \tau \multimap \tau) \rightarrow \tau \multimap \tau$   
( $\rho$  ground,  $\tau$  linear)

## Constants (ctd.)

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$$\otimes_{\rho\sigma}^+ : \rho \multimap \sigma \multimap \rho \otimes \sigma$$

$$\otimes_{\rho\sigma\tau}^- : \rho \otimes \sigma \multimap (\rho \multimap \sigma \multimap \tau) \multimap \tau$$

$$\times_{\rho\sigma\vec{\tau}}^+ : (\vec{\tau} \multimap \rho) \multimap (\vec{\tau} \multimap \sigma) \multimap \vec{\tau} \multimap \rho \times \sigma$$

$$\text{fst}_{\rho\sigma} : \rho \times \sigma \multimap \rho$$

$$\text{snd}_{\rho\sigma} : \rho \times \sigma \multimap \sigma$$

## Abbreviations for $N := L(U)$ and $W := L(B)$

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$$0 := \text{nil}_U$$

$$S := \lambda l^{L(U)}. \text{cons}_U \epsilon l$$

$$1 := \text{nil}_B$$

$$S_0 := \lambda l^{L(B)}. \text{cons}_B \text{ff} l$$

$$S_1 := \lambda l^{L(B)}. \text{cons}_B \text{tt} l$$

## Terms

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$c^\rho$  (constant) |

$x^\rho$  (incomplete variable) |

$\bar{x}^\rho$  (complete variable) |

$(\lambda x^\rho r^\sigma)^{\rho \dashv \sigma}$  |

$(r^{\rho \dashv \sigma} s^\rho)^\sigma$  with h.t. incomplete vars in  $r, s$  distinct |

$(\lambda \bar{x}^\rho r^\sigma)^{\rho \rightarrow \sigma}$  |

$(r^{\rho \rightarrow \sigma} s^\rho)^\sigma$  with  $s$  complete

A term  $s$  is **complete** if all its free variables are complete.

## Conversions

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$$(\lambda x r)s \mapsto r[x := s]$$

$$\text{if}_\tau \text{tt} s \mapsto \text{fst}_{\tau\tau} s, \quad \text{if}_\tau \text{ff} s \mapsto \text{snd}_{\tau\tau} s$$

$$\mathbf{c}_\tau \text{nil}_\rho s \mapsto \text{fst } s, \quad \mathbf{c}_\tau (\text{cons}_\rho r l) s \mapsto \text{snd } srl$$

$$\mathcal{R}_\tau \text{nil}_\rho st \mapsto t, \quad \mathcal{R}_\tau (\text{cons}_\rho r l) st \mapsto srl(\mathcal{R}_\tau l st)$$

$$\otimes_{\rho\sigma\tau}^- (\otimes_{\rho\sigma}^+ r s) t \mapsto trs$$

$$\text{fst}_{\rho\sigma} (\times_{\rho\sigma\tau}^+ r st) \mapsto rt, \quad \text{snd}_{\rho\sigma} (\times_{\rho\sigma\tau}^+ r st) \mapsto st$$

## Two recursions

---

$$\begin{aligned}
 d(1) &:= S_0(1) & e(1) &:= 1 \\
 d(S_i(x)) &:= S_0(S_0(d(x))) & e(S_i(x)) &:= d(e(x))
 \end{aligned}$$

Then  $e(x) = d^{|x|-1}(1)$ .

Problem: Previous value of 2nd recursion is rec. argument

Cure: recursion arguments “complete”, i.e.,  $d: \mathbf{W} \rightarrow \mathbf{W}$ ,  
not  $\mathbf{W} \multimap \mathbf{W}$ .

Terms:

$$\begin{aligned}
 d &:= \lambda \bar{x}. \mathcal{R}_{\mathbf{W}} \bar{x} (\lambda \bar{z} \lambda \bar{l} \lambda p^{\mathbf{W}}. S_0(S_0 p))(S_0 1) : \mathbf{W} \rightarrow \mathbf{W}, \\
 e &:= \lambda \bar{x}. \mathcal{R}_{\mathbf{W}} \bar{x} (\lambda \bar{z} \lambda \bar{l} \lambda p^{\mathbf{W}}. dp) 1.
 \end{aligned}$$



## Recursion with parameter substitution (1)

---

$$\begin{array}{l} e(1, y) := S_0(y) \\ e(S_i(x), y) := e(x, e(x, y)) \end{array} \quad \text{or} \quad \begin{array}{l} e(1) := S_0 \\ e(S_i(x)) := e(x) \circ e(x) \end{array}$$

Then  $e(x) = S_0^{(2^{|x|-1})}$ .

Problem: Previous higher type value of rec. used twice.

Cure: (affine) linearity. Term:

$$\lambda \bar{x}. \mathcal{R}_{\mathbf{W} \multimap \mathbf{W}} \bar{x} (\lambda \bar{z} \lambda \bar{l} \lambda p^{\mathbf{W} \multimap \mathbf{W}} \lambda y. p(p y)) S_0$$

## Recursion with parameter substitution (2)

---

$$\begin{array}{l} e(1, y) := y \\ e(S_i(x), y) := e(x, d(y)) \end{array} \quad \text{or} \quad \begin{array}{l} e(1) := \text{id} \\ e(S_i(x)) := e(x) \circ d \end{array}$$

Then  $e(x) = d^{|x|-1}$ .

Problem: Higher result type with marked argument types (marked as recursion arguments).

Cure: Result types linear, i.e., without  $\rightarrow$ . Term:

$$\lambda \bar{x}. \mathcal{R}_{\mathbf{W} \rightarrow \mathbf{W}} \bar{x} (\lambda \bar{z} \lambda \bar{l} \lambda p^{\mathbf{W} \rightarrow \mathbf{W}} \lambda \bar{x}. p(d\bar{x})) (\lambda \bar{y} \bar{y})$$

## Higher argument type: iteration (1)

---

$$I(1, f) := \text{id}$$

$$I(S_i(x), f) := f \circ I(x, f)$$

Then  $I(x, d) = d^{(|x|-1)}$ .

Problem: Recursively defined  $d$  in function parameter.

Cure: Result types linear, i.e., without  $\rightarrow$ . Terms:

$$I_f := \lambda \bar{x}. \mathcal{R}_{\mathbf{W} \rightarrow \mathbf{W}} \bar{x} (\lambda \bar{z} \lambda \bar{l} \lambda p^{\mathbf{W} \rightarrow \mathbf{W}} \lambda y. f(py)) (\lambda y y)$$

$$e := \lambda x. I_{\mathbf{d}} x 1 \quad \text{with } d: \mathbf{W} \rightarrow \mathbf{W}$$

## Higher argument type: iteration (2)

---

$$e(1) := S_0$$

$$e(S_i(x)) := I(S_0(S_0(1)), e(x))$$

Then:  $e(x) = S_0^{(2^{|x|}-1)}$ .

Problem: Previous higher type value  $e(x)$  in step of  $I$ .

Cure: no higher type incomplete parameters in step.

Terms:

$$I_f := \lambda x. \mathcal{R}_{\mathbf{W} \rightarrow \mathbf{W}} x (\lambda x \lambda p^{\mathbf{W} \rightarrow \mathbf{W}} \lambda y. f(py)) (\lambda y y)$$

$$e := \lambda x. \mathcal{R}_{\mathbf{W} \rightarrow \mathbf{W}} x (\lambda x \lambda q^{\mathbf{W} \rightarrow \mathbf{W}} . I_q(S_0(S_0 1))) S_0$$

## Polynomials

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$\oplus: \mathbf{W} \rightarrow \mathbf{W} \multimap \mathbf{W}$ .  $x \oplus y$  concatenates  $|x|$  bits onto  $y$ .

$$1 \oplus y = S_0 y$$

$$(S_i x) \oplus y = S_0(x \oplus y)$$

$$\bar{x} \oplus y := \mathcal{R}_{\mathbf{W} \multimap \mathbf{W}} \bar{x} (\lambda \bar{z} \lambda \bar{l} \lambda p^{\mathbf{W} \multimap \mathbf{W}} \lambda y. S_0(p y)) S_0.$$

$\odot: \mathbf{W} \rightarrow \mathbf{W} \rightarrow \mathbf{W}$ .  $x \odot y$  has output length  $|x| \cdot |y|$ .

$$x \odot 1 = x$$

$$x \odot (S_i y) = x \oplus (x \odot y)$$

$$\bar{x} \odot \bar{y} := \mathcal{R}_{\mathbf{W}} \bar{y} (\lambda \bar{z} \lambda \bar{l} \lambda p^{\mathbf{W}} \bar{x} \oplus p) \bar{x}.$$

## Feasible computation with higher types

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**Theorem.** The closed **LT** terms of type  $\vec{W} \multimap W$  denote exactly the functions computable in polynomial time.

(Computation model: **parse dags**, where every node with in-degree  $> 1$  is of ground type; S. & Bellantoni 2002)

**But:** already  $\mathcal{R}$ -free normalization is superexponential.

Solution: this is only a **constant** w.r.t. to the length of integers  $\vec{n}$  at which we evaluate the denoted function.

## Part 2: Restriction LHA of HA

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Goal: all extracted programs feasible. How?

- Three sorts of (assumption and object) variables: passive, complete and incomplete ones.
- **(affine) linearity**: higher type incomplete variables occur at most once.
- **ramification**:

$$\tilde{\forall}x^\rho A \quad \text{as well as} \quad \begin{cases} A \rightarrow B \\ \bar{\forall}x^\rho A \end{cases} \quad \text{as well as} \quad \begin{cases} A \multimap B \\ \forall x^\rho A \end{cases}$$

## Goal (ctd.)

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**LHA** solves

$$\frac{\text{Gödel's } \mathbf{T}}{\mathbf{HA}} = \frac{\text{Restriction } \mathbf{LT} \text{ of } \mathbf{T}}{?}$$

Motivation: Examples of arithmetical existence proofs exhibiting exponential growth.



## Double use of assumptions

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$$\begin{array}{l} e(1, y) := S_0(y) \\ e(S_i(x), y) := e(x, e(x, y)) \end{array} \quad \text{or} \quad \begin{array}{l} e(1) := S_0 \\ e(S_i(x)) := e(x) \circ e(x) \end{array}$$

Then  $e(x) = S_0^{(2^{|x|-1})}$ , i.e.,  $e$  grows exponentially.

Corresponding existence proof:

$$\forall x, y \exists z |z| = 2^{|x|-1} + |y|$$

Ind( $x$ ): (“functional”) IH used twice.

Cure: (affine) linearity.

## Substitution in function parameters

---

$$I(1, f) := \text{id}$$

$$I(S_i(x), f) := f \circ I(x, f)$$

$I(x, d) = d^{(|x|-1)}$ : exponential.

Corresponding proofs

for  $I$ :  $\forall x. \forall y_1 \exists y_2 |y_2| = 2|y_1| \rightarrow \forall z \exists y |y| = 2^{|x|-1} + |z|$

for  $d$ :  $\forall y_1 \exists y_2 |y_2| = 2|y_1|$

are unproblematic, but we need to forbid applying a cut.

Cure: **ramification**: we can only prove  $\bar{\forall} y_1 \exists y_2 |y_2| = 2|y_1|$ .

## Formulas

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Assume fixed predicate symbols  $P, Q, \dots$  of fixed arity.

Special predicate symbols:  $=_{\rho}$ .

$$A, B ::= P(\vec{r}) \mid A \rightarrow B \mid A \multimap B \mid A \otimes B \mid A \wedge B \mid \\ \tilde{\forall}x^{\rho}A \mid \bar{\forall}x^{\rho}A \mid \forall x^{\rho}A \mid \exists x^{\rho}A$$

Define  $\perp := (\text{tt} = \text{ff})$ ,  $\neg A := A \multimap \perp$ .

Notice: conjunction is “weak”;  $A \wedge B \multimap A$  is provable, but  $(A \multimap B \multimap C) \multimap (A \wedge B \multimap C)$  is not.

## Computational content $\tau(A)$ of a formula $A$

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$$\tau(P(\vec{r})) \quad := \mathbf{U}$$

$$\tau(A \rightarrow B) \quad := \tau(A) \rightarrow \tau(B)$$

$$\tau(A \multimap B) \quad := \tau(A) \multimap \tau(B)$$

$$\tau(A_0 \otimes A_1) \quad := \tau(A_0) \otimes \tau(A_1)$$

$$\tau(A_0 \wedge A_1) \quad := \tau(A_0) \times \tau(A_1)$$

$$\tau(\tilde{\forall}x^\rho A) \quad := \tau(A)$$

$$\tau(\bar{\forall}x^\rho A) \quad := \rho \rightarrow \tau(A)$$

$$\tau(\forall x^\rho A) \quad := \rho \multimap \tau(A)$$

$$\tau(\exists x^\rho A) \quad := \rho \otimes \tau(A)$$

## Computationally irrelevant (c.i.) formulas

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$A$  is c.i.  $\iff$

$A$  contains no  $\exists x^\rho B$  in a strictly positive position.

C.i. formulas are also called “Harrop formulas”.

Notice:  $A$  is c.i. iff  $\tau(A)^c = \mathbf{U}$  ( $^c$  means “cleaning”).

## Derivations $\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash M : A$

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Raw proof terms:

$$M, N ::= u^A \mid c \mid \lambda u^A M \mid \lambda x^\rho M \mid MN \mid Mr$$

Split context:  $\Theta = \Pi \mid \Gamma \mid \Delta \mid \Sigma$ .

$\Pi$  **passive** part

$\Gamma$  **complete** part

$\Delta$  **incomplete higher type** part

$\Sigma$  **incomplete ground type** part

## Proof rules

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The relation  $\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash M : A$  is defined inductively:

- If  $u^A \in \Gamma, \Delta, \Sigma$  and  $FV(A) \subseteq \Pi, \Gamma, \Delta, \Sigma$ , then

$$\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash u^A : A.$$

- Also, for any axiom  $c$ ,

$$\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash c : A.$$

## Proof rules (ctd.)

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$$\frac{\Pi \mid \Gamma, u^A \mid \Delta \mid \Sigma \vdash M : B}{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash \lambda u^A M : A \rightarrow B} \quad (\rightarrow^+)$$

$$\frac{\Pi \mid \Gamma \mid \Delta, u^A \mid \Sigma \vdash M : B}{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash \lambda u^A M : A \multimap B} \quad (\multimap_{\geq 1}^+)$$

$$\frac{\Pi \mid \Gamma \mid \Delta \mid \Sigma, u^A \vdash M : B}{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash \lambda u^A M : A \multimap_0 B} \quad (\multimap_0^+)$$



## Proof rules (ctd.)

---

$$\frac{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash M : A \rightarrow B \quad \Pi \mid \Gamma \mid \cdot \mid \cdot \vdash N : A}{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash MN : B} \quad (\rightarrow^-)$$

$$\frac{\Pi \mid \Gamma \mid \Delta_1 \mid \Sigma \vdash M : A \multimap B \quad \Pi \mid \Gamma \mid \Delta_2 \mid \Sigma \vdash N : A}{\Pi \mid \Gamma \mid \Delta_1, \Delta_2 \mid \Sigma \vdash MN : B} \quad (\multimap^-)$$

## Proof rules (ctd.)

---

$$\frac{\Pi, x \mid \Gamma \mid \Delta \mid \Sigma \vdash M : A \quad \text{VarCond}}{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash \lambda x^\rho M : \tilde{\forall} x^\rho A} \quad (\tilde{\forall}^+)$$

$$\frac{\Pi \mid \Gamma, x \mid \Delta \mid \Sigma \vdash M : A \quad \text{VarCond}}{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash \lambda x^\rho M : \bar{\forall} x^\rho A} \quad (\bar{\forall}^+)$$

$$\frac{\Pi \mid \Gamma \mid \Delta, x \mid \Sigma \vdash M : A \quad \text{VarCond}}{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash \lambda x^\rho M : \forall x^\rho A} \quad (\forall_{\geq 1}^+)$$

$$\frac{\Pi \mid \Gamma \mid \Delta \mid \Sigma, x \vdash M : A \quad \text{VarCond}}{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash \lambda x^\rho M : \forall x^\rho A} \quad (\forall_0^+)$$

## Proof rules (ctd.)

---

$$\frac{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash M : \tilde{\forall}x^\rho A \quad \text{FV}(r) \subseteq \Pi, \Gamma, \Delta, \Sigma}{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash Mr : A[x:=r]} \quad (\tilde{\forall}^-)$$

$$\frac{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash M : \bar{\forall}x^\rho A \quad \Gamma \mid \cdot \mid \cdot \vdash r : \rho}{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash Mr : A[x:=r]} \quad (\bar{\forall}^-)$$

$$\frac{\Pi \mid \Gamma \mid \Delta_1 \mid \Sigma \vdash M : \forall x^\rho A \quad \Gamma \mid \Delta_2 \mid \Sigma \vdash r : \rho}{\Pi \mid \Gamma \mid \Delta_1, \Delta_2 \mid \Sigma \vdash Mr : A[x:=r]} \quad (\forall^-)$$

## Proof rules (ctd.)

---

Passification rule:

$$\frac{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash M : A \quad \tau(A)^c = \mathbf{U}}{\Pi, \Gamma, \Delta, \Sigma \mid \Gamma' \mid \Delta' \mid \Sigma' \vdash M : A}$$

Contraction rule:

$$\frac{\Pi, u^A \mid \Gamma, v^A \mid \Delta \mid \Sigma \vdash M : B}{\Pi \mid \Gamma, v^A \mid \Delta \mid \Sigma \vdash M[u^A := v^A] : B}$$

and similarly for  $\Delta$  and  $\Sigma$ .

## Induction and cases axioms

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**Induction** axioms  $\text{Ind}_{l,A}$ , for  $A$  linear and  $\rho$  ground:

$$\forall l^{\mathbf{L}(\rho)}. (\forall x^\rho \forall l^{\mathbf{L}(\rho)}. A \multimap A[l := \text{cons}_\rho(x, l)]) \rightarrow A[l := \text{nil}_\rho] \multimap A$$

**Cases** axioms  $\text{Cases}_{l,A}$  and  $\text{If}_A$ , for  $A$  linear:

$$\forall l^{\mathbf{L}(\rho)}. A[l := \text{nil}_\rho] \wedge \forall x^\rho \forall l^{\mathbf{L}(\rho)}. A[l := \text{cons}_\rho(x, l)] \multimap A$$

$$\forall p^{\mathbf{B}}. A[p := \text{tt}] \wedge A[p := \text{ff}] \multimap A$$

## Logical axioms

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$$A \multimap B \multimap A \otimes B$$

$$A \otimes B \multimap (A \multimap B \multimap C) \multimap C$$

$$(\vec{C} \multimap A) \multimap (\vec{C} \multimap B) \multimap \vec{C} \multimap A \wedge B$$

$$A_0 \wedge A_1 \multimap A_i$$

$$\forall x.A \multimap \exists xA,$$

$$\exists xA \multimap (\forall x.A \multimap B) \multimap B \quad \text{if } x \notin \text{FV}(B)$$

$$\perp \multimap P(\vec{r})$$

and equality axioms, elimination axioms for  $\otimes$ .

## Derived rules

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$$\frac{\Pi \mid \Gamma \mid \Delta \mid \Sigma \vdash A}{\Pi, \Pi' \mid \Gamma, \Gamma' \mid \Delta, \Delta' \mid \Sigma, \Sigma' \vdash A} \quad (\text{Weakening})$$

$$\frac{\Pi, \Pi' \mid \Gamma \mid \Delta \mid \Sigma \vdash A}{\Pi \mid \Gamma, \Pi' \mid \Delta \mid \Sigma \vdash A} \quad (\text{Activation})$$

$$\frac{\Pi \mid \Gamma \mid \Delta, \Delta' \mid \Sigma, \Sigma' \vdash A}{\Pi \mid \Gamma, \Delta', \Sigma' \mid \Delta \mid \Sigma \vdash A} \quad (\text{Completion})$$

## Remarks

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$A \multimap B$  stronger than  $A \rightarrow B$ :  $(\multimap^-)$  has fewer restrictions than  $(\rightarrow^-)$ .

$\tilde{\forall}$ -propositions are stronger than  $\forall$ -propositions, which in turn are stronger than  $\bar{\forall}$ -propositions.

$$\vdash (A \multimap B) \multimap (A \rightarrow B),$$

$$\vdash \tilde{\forall}x A \multimap \forall x A,$$

$$\vdash \forall x A \multimap \bar{\forall}x A.$$



## Extracted term of a derivation

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Given  $\Theta \vdash M : A$ , want  $\llbracket \Theta \vdash M : A \rrbracket$  (abbreviated  $\llbracket M \rrbracket$ )  
such that form

$$\Pi \mid \Gamma, u_1^{B_1}, \dots, u_n^{B_n} \mid \Delta, v_1^{C_1}, \dots, v_m^{C_m} \mid \Sigma, w_1^{D_1}, \dots, w_k^{D_k} \\ \vdash M : A$$

we can conclude

$$\Gamma, x_{u_1}, \dots, x_{u_n} \mid \Delta, x_{v_1}, \dots, x_{v_m} \mid \Sigma, x_{w_1}, \dots, x_{w_k} \\ \vdash \llbracket M \rrbracket : \tau(A).$$

## Extracted term $\llbracket M \rrbracket$

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$$\llbracket u^A \rrbracket := x_u^{\tau(A)} \quad (x_u^{\tau(A)} \text{ associated with } u^A)$$

$$\llbracket \lambda u^A M \rrbracket := \lambda x_u^{\tau(A)} \llbracket M \rrbracket$$

$$\llbracket MN \rrbracket := \llbracket M \rrbracket \llbracket N \rrbracket$$

$$\llbracket \lambda x^\rho M \rrbracket := \begin{cases} \llbracket M \rrbracket & \text{if the last rule is } (\tilde{\forall}^+) \\ \lambda x^\rho \llbracket M \rrbracket & \text{if the last rule is } (\bar{\forall}^+) \text{ or } (\forall^+) \end{cases}$$

$$\llbracket Mr \rrbracket := \begin{cases} \llbracket M \rrbracket & \text{if the last rule is } (\tilde{\forall}^-) \\ \llbracket M \rrbracket r & \text{if the last rule is } (\bar{\forall}^-) \text{ or } (\forall^-) \end{cases}$$

$$\llbracket M \rrbracket := \varepsilon^{\tau(A)} \quad \text{if the last rule of } M : A \text{ is (Passif.)}$$

and hence  $\tau(A)^c = \mathbf{U}$

## Extracted term for induction and cases axioms

$$\begin{aligned} & \bar{\forall} l^{\mathbf{L}(\rho)}. (\bar{\forall} x^\rho \bar{\forall} l^{\mathbf{L}(\rho)}. A \multimap A[l := \text{cons}_\rho(x, l)]) \rightarrow A[l := \text{nil}_\rho] \multimap A \\ & \forall l^{\mathbf{L}(\rho)}. A[l := \text{nil}_\rho] \wedge \forall x^\rho \forall l^{\mathbf{L}(\rho)}. A[l := \text{cons}_\rho(x, l)] \multimap A \\ & \forall p^{\mathbf{B}}. A[p := \text{tt}] \wedge A[p := \text{ff}] \multimap A \end{aligned}$$

have the extracted terms (for  $A$  linear,  $\tau := \tau(A)$ , and  $\rho$  ground in the induction axiom)

$$\begin{aligned} \llbracket \text{Ind}_{l,A} \rrbracket & := \mathcal{R}_\tau^{\mathbf{L}(\rho)} : \mathbf{L}(\rho) \rightarrow (\rho \rightarrow \mathbf{L}(\rho) \rightarrow \tau \multimap \tau) \rightarrow \tau \multimap \tau \\ \llbracket \text{Cases}_{l,A} \rrbracket & := c_\tau : \mathbf{L}(\rho) \multimap \tau \times (\rho \multimap \mathbf{L}(\rho) \multimap \tau) \multimap \tau \\ \llbracket \text{If}_A \rrbracket & := \text{if}_\tau : \mathbf{B} \multimap \tau \times \tau \multimap \tau \end{aligned}$$

## Extracted terms for axioms (ctd.)

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Write  $\llbracket A \rrbracket$  for  $\llbracket c : A \rrbracket$ . If  $\tau(A)^c = \mathbf{U}$ , then  $\llbracket A \rrbracket := \varepsilon^{\tau(A)}$  ( $\varepsilon^\rho$  some closed term of type  $\rho$ ).

$$\begin{aligned}
 \llbracket A \multimap B \multimap A \otimes B \rrbracket &:= \otimes_{\tau(A), \tau(B)}^+ \\
 \llbracket A \otimes B \multimap (A \multimap B \multimap C) \multimap C \rrbracket &:= \otimes_{\tau(A), \tau(B), \tau(C)}^- \\
 \llbracket (\vec{C} \multimap A) \multimap (\vec{C} \multimap B) \multimap \vec{C} \multimap A \wedge B \rrbracket &:= \times_{\tau(A), \tau(B), \tau(\vec{C})}^+ \\
 \llbracket A \wedge B \multimap A \rrbracket &:= \text{fst}_{\tau(A), \tau(B)} \\
 \llbracket A \wedge B \multimap B \rrbracket &:= \text{snd}_{\tau(A), \tau(B)}
 \end{aligned}$$

## Extracted terms for axioms (ctd.)

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$$\begin{aligned} [[\forall x.A \multimap \exists x.A]] &:= \otimes_{\rho, \tau(A)}^+ \\ [[\exists x.A \multimap (\forall x.A \multimap B) \multimap B]] &:= \otimes_{\rho, \tau(A), \tau(B)}^- \\ [[\forall x^\rho \forall y^\sigma A[z := \otimes^+ xy] \multimap \forall z^{\rho \otimes \sigma} A]] &:= \lambda f \lambda z. \otimes^- z f \\ [[\tilde{\forall} x^\rho \tilde{\forall} y^\sigma A[z := \otimes^+ xy] \multimap \tilde{\forall} z^{\rho \otimes \sigma} A]] &:= \text{id}_{\tau(A)} \end{aligned}$$

## Soundness of typing

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**Theorem.** Assume

$$\begin{array}{l} \Pi \mid \Gamma, u_1^{B_1}, \dots, u_n^{B_n} \mid \Delta, v_1^{C_1}, \dots, v_m^{C_m} \mid \Sigma, w_1^{D_1}, \dots, w_k^{D_k} \\ \vdash M : A. \end{array}$$

Then

$$\begin{array}{l} \Gamma, x_{u_1}, \dots, x_{u_n} \mid \Delta, x_{v_1}, \dots, x_{v_m} \mid \Sigma, x_{w_1}, \dots, x_{w_k} \\ \vdash \llbracket M \rrbracket : \tau(A). \end{array}$$

*Proof.* Inspection of the proof rules and the extracted terms for the c.r. axioms. □

## Realizability

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$$r \underline{\mathbf{mr}} P(\vec{s}) \quad := \quad P(\vec{s})$$

$$r \underline{\mathbf{mr}} (A \rightarrow B) := r \underline{\mathbf{mr}} (A \multimap B) := \forall x. x \underline{\mathbf{mr}} A \rightarrow r x \underline{\mathbf{mr}} B$$

$$r \underline{\mathbf{mr}} (A \otimes B) \quad := \quad r \pi_0 \underline{\mathbf{mr}} A \wedge r \pi_1 \underline{\mathbf{mr}} B$$

$$r \underline{\mathbf{mr}} (A \wedge B) \quad := \quad r \mathbf{tt} \underline{\mathbf{mr}} A \wedge r \mathbf{ff} \underline{\mathbf{mr}} B.$$

$$r \underline{\mathbf{mr}} \check{\forall} x A \quad := \quad \forall x. r \underline{\mathbf{mr}} A$$

$$r \underline{\mathbf{mr}} \bar{\forall} x A := r \underline{\mathbf{mr}} \forall x A \quad := \quad \forall x. r x \underline{\mathbf{mr}} A$$

$$r \underline{\mathbf{mr}} \exists x A \quad := \quad r \pi_0 \underline{\mathbf{mr}} A[x := r \pi_1]$$

Remark:  $r \underline{\mathbf{mr}} A$  is an **HA**-formula, and  $\mathbf{HA} \vdash A \leftrightarrow r \underline{\mathbf{mr}} A$  if  $A$  is  $\exists$ -free.

## Soundness

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**Theorem.** Assume  $\Theta \vdash M : A$ . Then there is an **HA**-derivation of

$$\llbracket M \rrbracket \underline{\text{mr}} A$$

from assumptions  $x_u \underline{\text{mr}} B$  for  $u^B \in \Theta$ .

*Proof.* By induction on  $\Theta \vdash M : A$ . □



## LHA and its provably recursive functions

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Recall the induction scheme:

$$\bar{\forall}l^{\mathbf{L}(\rho)}.(\bar{\forall}x^{\rho}\bar{\forall}l^{\mathbf{L}(\rho)}.A \multimap A[l:=\text{cons}_{\rho}(x,l)]) \rightarrow A[l:=\text{nil}_{\rho}] \multimap A$$

provided  $A$  linear and  $\rho$  ground ( $A$  is linear if  $A$  contains no complete quantification  $\bar{\forall}$  and no  $\rightarrow$ .) For instance, the proofs sketched above can easily be formalized in **LHA**.

**Theorem.** A function is provably recursive in **LHA** if and only if it is computable in polynomial time.

## References

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